

POLICY

Effective Date: September 25, 2013

Approved By: President Bruce Shepard

Authority: [74 FR 42126](#); [NIH NOT-OD-10-019](#)

Cancels:

See also: [POL-U4520.02](#) Addressing Responsible Conduct of Research

POL- U4520. 07 TRAINING FOR RESEARCH/SCHOLARLY ACTIVITIES ON NATIONAL INSTITUTE OF HEALTH (NIH) OR NATIONAL SCIENCE FOUNDATION (NSF)- SPONSORED AGREEMENTS

This policy applies to faculty, staff, and students working on NIH-or NSF - sponsored agreements.

Definitions used in this policy:

Research Misconduct:

- a) Fabrication, falsification, or plagiarism in proposing, performing, or reviewing research, or in reporting research results. Research misconduct does not include honest error or differences of opinion;
- b) Willful failure to comply with federal, state, or university requirements: i) for protecting human subjects during research or ii) concerning the humane treatment of animals in research; or
- c) Use of university resources such as research funds, facilities, faculty, staff, or students for unauthorized and/or illegal activities.

Principal Investigator (PI) or Project Director (PD): Person responsible for proper management of sponsored agreements.

Key Personnel: PD/PI and other individuals who contribute to the scientific development or execution of a project in a substantive, measurable way.

Responsible Conduct of Research (RCR) Training: A training program encompassing the prevention of research and/or scholarly misconduct. The program shall also include best practices of publication, authorship, and mentoring. The RCR courses are offered on-line and face-to-face.

NSF-Sponsored Agreement: Award instrument in the form of a grant, contract, subawards, cooperative agreement, or interagency agreement with NSF.

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NIH-Sponsored Agreement: Award instrument in the form of a grant, contract, subawards, cooperative agreement, or interagency agreement with NIH.

- a. Exceptions: This requirement does not apply to scholarship grants, REU grants, conference grants, equipment grants, program improvement grants or other federally-funded grants as determined by the Vice Provost for Research.

Policy:

1. **Principal Investigators (PIs) Must Complete On-Line RCR Training Before NIH- or NSF-Sponsored Activity Begins**

RCR training must be renewed every four years.
2. **Graduate Students and Key Personnel Funded by NIH- or NSF-Sponsored Agreements Must Complete On-Line RCR Training Program within sixty days (60) of Beginning Work on an NIH- or NSF- Sponsored Agreement**
3. **NIH-Sponsored Training, Fellowship, and Career PIs and Graduate Students Must Also Complete Eight Hours of Face-to-Face RCR Training Provided by Research and Sponsored Programs (RSP)**
4. **Undergraduate Students Funded by NIH- or NSF-Sponsored Agreements Must Participate in an RCR Training Session Offered by RSP**
5. **Vice-Provost for Research May Require RCR Training for Other PIs, Key Personnel, and Students as Deemed Appropriate**
6. **RSP Provides RCR Training to all Faculty, Graduate Students, and Undergraduate Students Upon Request Regardless of Funding**